



C. K. COOPER & COMPANY

Our Insight, Your Growth

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Christopher F. Cave is the Director of Capital Markets Group at C. K. Cooper & Company, a full-service investment bank focused on providing superior and insightful research coverage to its clients; innovative investment banking solutions supported by sales and trading services; and portfolio advisory and management services for private clients.

Mr. Cave joined C. K. Cooper & Company as part of the business combination of Mission Capital Financial Group in July of 2001. He brings an extensive background in servicing institutional investors to the firm. While Mr. Cave has a wide breadth of knowledge and experience in many aspects of the financial markets, his focus is assisting corporations meet the capital requirements necessary to execute their business plans and accelerate growth; as well as to market the research product of the firm.

In this role, Mr. Cave has worked to build and develop the Capital Markets Group at C. K. Cooper & Company. The group concentrates on transactional business and capital formation for micro to mid-cap companies in the Energy, Healthcare and Aerospace & Defense sectors. During Mr. Cave's tenure, C. K. Cooper and Company has managed or co-managed over 50 transactions, raising in excess of \$1 Billion. These transactions have included initial public offerings, follow-on offerings, registered direct transactions and private placements in public companies.

Mr. Cave attended the University of California at Irvine, where he received a Bachelor of Arts degree in Economics with an emphasis on Corporate Finance. He holds Series 7, 24, 63, 65 and 55 Securities Licenses. He is married, lives in Huntington Beach and has traveled extensively throughout Asia, Europe and the United States.